



2023 Top 25 Broker – Dealers by Court of the Table Members (as of July 1, 2023)

RANK	BROKER-DEALER	MARKET	2023 COT MEMBERS
1	NYSLife Securities LLC	United States	99
2	Northwestern Mutual Investment Services	United States	50
3	Pruco Securities Corp	United States	45
4	MML Investors Services LLC	United States	31
5	Park Avenue Securities LLC	United States	23
6	Sun Life Fin Investment Svcs(Canada)Inc	Canada	21
7	Mutual Of Omaha Investor Svcs Inc	United States	16
7	Principal Securities	United States	16
9	LPL Financial	United States	12
10	Ameriprise Financial	United States	11
11	Royal Alliance Associates Inc	United States	10
12	Quadrus Investment Services Ltd	Canada	9
12	State Farm VP Management Corp	United States	9
12	Thrivent Investment Management Inc	United States	9
15	Cetera Advisor Networks LLC	United States	8
15	ON Equity Sales Co	United States	8
15	Securities America Inc	United States	8
18	Equity Services Inc	United States	7
19	Ameritas Investment Corp	United States	6
19	Woodbury Financial Services Inc	United States	6
21	Cambridge Investment Research Inc	United States	5
21	Horace Mann Investors Inc	United States	5
21	Kestra Financial	United States	5
24	Country Capital Management Company	United States	4
24	FBL Marketing Service Inc	United States	4
24	Honor, Townsend & Kent, LLC	United States	4
24	Lincoln Financial Advisors	United States	4
24	MWA Financial Services Inc	United States	4
24	SagePoint Financial Inc	United States	4